

Enhanced Competency Framework (ECF) on Retail Wealth Management

2019 Training and Examination Schedule (4th Intake)

Training  - IA CPD  - HKIB CPD  - SFC CPT  - ECF CPD  - WAM Programme²

Module Name	Module No	Application Type	Code	Date	Time	Application Deadline	Fee (HK\$)
Regulatory Environment for Banking and Financial Planning (Credit: 20)	1	Training	HQRM001PP191003	4, 11, 18, 25 Oct 2019 (Fri); 1 Nov 2019 (Fri)	19:00 – 22:00	27 Sep 2019 (Fri)	3,750 ¹
		Examination	EXAM-2019-00300	13 Jan 2020 (Mon)	19:00 – 21:30	16 Dec 2019 (Mon)	1,020 ¹
Investment Planning (Credit: 20)	2	Training	HQRM002PP191002	3 Oct 2019 (Thu); 8, 15, 22 Oct 2019 (Tue); 26 Oct 2019 (Sat)	19:00 – 21:30 on 3,8,15,22 Oct 10:00 – 13:00 on 26 Oct	26 Sep 2019 (Thu)	3,750 ¹
		Examination	EXAM-2019-00301	13 Jan 2020 (Mon)	19:00 – 21:30	16 Dec 2019 (Mon)	1,020 ¹
Essentials of Banking (Credit: 20)	3	Training	HQRM003PP191104	14, 21, 28 Nov 2019 (Thu); 5 Dec 2019 (Thu) 13 Dec 2019 (Fri)	19:00 – 22:00	7 Nov 2019 (Thu)	3,750 ¹
		Examination	EXAM-2019-00307	10 Jan 2020 (Fri) ⁴	19:00 – 21:30	11 Dec 2019 (Wed)	1,020 ¹
			EXAM-2019-00308	12 Feb 2020 (Wed) ⁴	19:00 – 21:30	13 Jan 2020 (Mon)	
			EXAM-2019-00309	12 Mar 2020 (Thu)	19:00 – 21:30	13 Feb 2020 (Thu)	
Insurance and Retirement Planning (Credit: 20)	4	Training	HQRM004PP191101	5, 12, 19, 26 Nov 2019 (Tue); 3 Dec 2019 (Tue)	19:00 – 22:00	29 Oct 2019 (Tue)	3,750 ¹
		Examination	EXAM-2019-00320	19 Mar 2020 (Thu)	19:00 – 21:30	20 Feb 2020 (Thu)	1,020 ¹
Investment and Asset Management: Product Solutions (Credit: 20)	5	Training	HQRM005PP191001	2, 9, 16, 23 Oct 2019 (Wed); 2 Nov 2019 (Sat)	19:00 – 22:00 on 2,9,16,23 Oct 10:00 – 13:00 on 2 Nov	25 Sep 2019 (Wed)	3,750 ¹
		Examination	EXAM-2019-00287	11 Dec 2019 (Wed) ⁴	19:00 – 21:30	12 Nov 2019 (Tue)	1,020 ¹
			EXAM-2019-00303	13 Jan 2020 (Mon)	19:00 – 21:30	16 Dec 2019 (Mon)	
Investment and Asset Management: Alternatives and Wealth Solutions (Credit: 20)	6	Training	HQRM006PP190801	30 Aug 2019 (Fri); 9,16 Sep 2019 (Mon) 26 Sep 2019 (Thu) 3 Oct 2019 (Thu)	19:00 – 22:00	23 Aug 2019 (Fri)	3,750 ¹
		Examination	EXAM-2019-00192	18 Dec 2019 (Wed)	19:00 – 21:30	19 Nov 2019 (Tue)	1,020 ¹
		Training	HQRM006PP191103	6, 13, 20, 27 Nov 2019 (Wed); 4 Dec 2019 (Wed)	19:00 – 22:00	30 Oct 2019 (Wed)	3,750 ¹
		Examination	EXAM-2019-00306	26 Feb 2020 (Wed)	19:00 – 21:30	27 Jan 2020 (Mon)	1,020 ¹
Financial Planning and Wealth Management (Credit: 20)	7	Training	HQRM007PP191102	5, 12, 19, 26 Nov 2019 (Tue); 3 Dec 2019 (Tue)	19:00 – 22:00	29 Oct 2019 (Tue)	3,750 ¹
		Examination (Multiple Choice)	EXAM-2019-00288	20 Dec 2019 (Fri) ⁴	20:45 – 22:00	21 Nov 2019 (Thu)	1,020 ⁶
			EXAM-2019-00310	27 Mar 2020 (Fri)	20:45 – 22:00	28 Feb 2020 (Fri)	
		Examination ³ (Case & Oral)	EXAM-2019-00289	21 Dec 2019 (Sat) ⁴	TBC	22 Nov 2019 (Fri)	
			EXAM-2019-00311	29 Mar 2020 (Sun)	TBC	28 Feb 2020 (Fri)	

Special Note: According to the accreditation of HKCAAVQ on "Professional Certificate for ECF on Retail Wealth Management (RWM), an average learner is expected to spend 3 to 4 months to complete the respective training and examination of individual modules.




Programme Details:

Venue: 3/F Guangdong Investment Tower, 148 Connaught Road Central, Hong Kong (Sheung Wan MTR Station Exit C) (unless other venue specify in training class confirmation / examination attendance notice)

Enrolment: By fax at (852) 2544 9946 or via Email at application@hkib.org or submit to our institute in person or by mail

Note:

1. HKIB student members can enjoy 25% off training class fee discount and 50% off examination fee discount.
2.  The programme has been included in the list of reimbursable courses for WAM pilot programme. For WAM pilot programme applicants, 80% of the course fee (examination fee excluded) will be reimbursed upon meeting the 80% attendance requirement. For more details, please visit: www.wamtalent.org.hk.
3. Exam candidates of M7 Case & Oral will be informed of the exam time via Exam Attendance Notice. Exam candidates are recommended to reserve the day.
4. The exam serves for re-sit only.
5. A late entry fee of HK\$200 will apply for late training or examination enrolment.
6. The re-sitting examination fees for M7 Multiple Choice and M7 Case & Oral are \$1,020 respectively.
7. HKIB reserves the right to cancel, modify, and/or postpone the training and/or examination.

For Enquiries:

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